

# Towards a classification of CMC-1 Trinoids in hyperbolic space via conjugate surfaces\*

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## Abstract

We derive necessary conditions on the parameters of the ends of a CMC-1 trinoid in hyperbolic 3-space  $\mathbb{H}^3$  with symmetry plane by passing to its conjugate minimal surface. Together with [Dan03], this yields a classification of generic symmetric trinoids. We also discuss the relation to other classification results of trinoids in [BPS03] and [UY00].

To obtain the result above, we show that the conjugate minimal surface of a catenoidal CMC-1 end in  $\mathbb{H}^3$  with symmetry plane is asymptotic to a suitable helicoid.

## 1 Introduction

A minimal surface in  $\mathbb{R}^3$  can be presented by its *Weierstrass data*, i.e. as a map  $\Phi_W : \Sigma \rightarrow \mathbb{R}^3$ , where  $\Sigma$  is a Riemann surface, and  $\Phi_W$  depends on  $(g, \omega)$ , a meromorphic function and a holomorphic 1-form on  $\Sigma$ .

Given a minimal surface, one can consider its *associate (minimal) surface*, which is determined by the Weierstrass data  $(g, i\omega)$ .

Bryant found a representation of constant mean curvature 1 (CMC-1) surfaces in  $\mathbb{H}^3$  depending on the same data (see [Bry87]). Therefore, we call CMC-1 surfaces in  $\mathbb{H}^3$  *Bryant surfaces*. A Bryant surface has a *minimal cousin*, the minimal surface determined by the same data  $(g, \omega)$ .

Given a Bryant surface, we define its *conjugate (minimal) surface* to be the associate minimal surface of its minimal cousin.

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Under this construction, a principal geodesic (i.e. a geodesic which is also a curvature line) on the Bryant surface corresponds to a straight line on its conjugate surface.

We define  $I := (-\frac{1}{4}, \infty) \setminus \{0\}$ , and introduce helicoids  $H_\lambda$ , catenoids  $C_\lambda^W$ , and catenoid cousins  $\tilde{C}_\lambda$  parametrized by  $\lambda \in I$ , such that: The helicoid  $H_\lambda$  is the associate minimal surface of  $C_\lambda^W$ , and  $\tilde{C}_\lambda^W$  is the minimal cousin of the Bryant surface  $C_\lambda$ .

It is known that an end of a Bryant surface is asymptotic to some catenoid cousin or to a horosphere ([CHR01, Thm. 10]).

Clearly, one would expect that the conjugate surface of a catenoidal Bryant end is asymptotic to a suitable helicoid. However, this is not immediate, since the Bryant cousin relation is given by a second-order description only. For the similar situation of relating CMC-1 surfaces in  $\mathbb{R}^3$  to minimal surfaces in  $S^3$ , there exists a first-order description. Using this, it is possible to conclude that asymptotics is preserved in this case (see [GKS01]).

For our situation, we show in section 3 that if a catenoidal end has a symmetry plane, then the asymptotics is indeed preserved:

**Theorem 1.1.** *Let  $E'$  be a symmetric Bryant end asymptotic to  $C_\lambda$  for some  $\lambda \in I$ . Then the conjugate minimal surface  $E'^c$  is asymptotic to  $H_\lambda$ .*

In section 4, we turn our attention to CMC-1 *trinoids* in  $\mathbb{H}^3$ . I.e., we examine Bryant surfaces of genus zero with three ends, all of which are catenoidal.

We study *symmetric* trinoids, i.e. trinoids which have a symmetry plane (determined by the asymptotic boundary points of their ends).

It follows from the classification by [UY00] that every (generic) trinoid is symmetric; since we present a different approach to this moduli problem, we do not use this result. One should look for a direct geometric proof that every properly immersed CMC-1 surface in  $\mathbb{H}^3$  of genus zero and three ends has a symmetry plane.

A symmetric trinoid can be cut open along its symmetry plane to obtain two simply connected pieces. The conjugate surface of such a piece is a minimal surface bounded by three lines. Surfaces of this kind were already examined by Riemann (see [Rie61, sec. 17] or [Dar87]).

Using Theorem 1.1, this yields a necessary condition on the parameters of a generic trinoid:

Let  $J := (0, \infty) \setminus \{\pi\}$ ; for a real number  $\varphi$ , we call

$$r(\varphi) := \min_{n \in \mathbb{Z}} |\varphi + 2n\pi|$$

the *reduced angle* of  $\varphi$ . Furthermore, let  $\mathcal{T}$  be the set of interior points of the tetrahedron with vertices  $(\pi, 0, 0)$ ,  $(0, \pi, 0)$ ,  $(0, 0, \pi)$ ,  $(\pi, \pi, \pi)$ . Then we have:

**Theorem 1.2.** *If there exists a symmetric trinoid corresponding to the parameter triple  $(\varphi_1, \varphi_2, \varphi_3) \in (J \setminus \pi\mathbb{Z})^3$ , then for the triple of reduced angles holds (in the generic case):*

$$(r(\varphi_1), r(\varphi_2), r(\varphi_3)) \in \mathcal{T}.$$

On the other hand, minimal surfaces bounded by three lines are constructed in [Dan03]. His main result is:

**Theorem 1.3 ([Dan03, Thm. 49]).** *Let  $(\varphi_1, \varphi_2, \varphi_3) \in (J \setminus \pi\mathbb{Z})^3$ , and assume that  $(r(\varphi_1), r(\varphi_2), r(\varphi_3))$  lies in  $\mathcal{T}$ .*

*Under a certain polynomial condition (in the  $\varphi_i$ ), there is a corresponding symmetric trinoid which arises from a minimal disk bounded by three lines.*

In section 6, we compare the conditions given by the theorems above to the conditions found in [BPS03] and [UY00], and find that they are essentially the same:

**Corollary 1.4.** *The conditions of Theorems 1.2 and 1.3 are equivalent to those given by [BPS03].*

For symmetric parameter triples  $(\varphi, \varphi, \varphi)$  with  $\varphi \in (\pi/3, \pi)$ , one can construct the minimal surface using a sequence of Plateau solutions, and show that it corresponds to a trinoid; for details, see [Bal03].

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## 2 Preliminaries

In this section, we present the material from the beginning of section 1 in more detail.

First, we recall the Weierstrass representation for minimal surfaces in  $\mathbb{R}^3$  and the Bryant representation for CMC-1 surfaces in  $\mathbb{H}^3$ :

The Weierstrass representation Theorem says that every minimal surface can be conformally parametrized as

$$\Phi_W(z) = \operatorname{Re} \oint_{z_0}^z ((1 - g^2)\omega, i(1 + g^2)\omega, 2g\omega), \quad (1)$$

where  $z$  is in  $\Sigma$ , the parametrizing Riemann surface (possibly with boundary), and  $g$  (resp.  $\omega$ ) is a meromorphic function (resp. a holomorphic 1-form) on  $\Sigma$ . Furthermore,  $g$  has a pole of order  $k$  in  $z$  if and only if  $\omega$  has a zero of order  $2k$  in  $z$ .

The function  $g$  has a geometric meaning: it is the stereographic projection of the Gauss (or normal) map of the minimal surface  $\Phi_W$ .

The pair  $(g, \omega)$  is called the *Weierstrass data* of  $\Phi_W$ .

Conversely, Weierstrass data on a Riemann surface  $\Sigma$  defines a minimal immersion from the universal cover  $\tilde{\Sigma}$  into  $\mathbb{R}^3$  via (1).

To a minimal surface  $\Phi_W$  with Weierstrass data  $(g, \omega)$ , one has its *associate surface*  $\bar{\Phi}_W$ , which is given by the Weierstrass data  $(g, i\omega)$ ; it turns out that  $\Phi_W$  and  $\bar{\Phi}_W$  are (locally) isometric. Note that if  $\Phi_W$  is defined on  $\Sigma$ , it may happen that  $\bar{\Phi}_W$  is defined on  $\tilde{\Sigma}$  only.

For more details on the Weierstrass representation, we refer the reader to [Oss86, §8].

In his seminal paper [Bry87], Bryant showed that there is a representation of CMC-1 surfaces in  $\mathbb{H}^3$  using exactly the same data as the Weierstrass representation. Thus, to a minimal surface  $\Phi_W$  with Weierstrass data  $(g, \omega)$ , one obtains a *Bryant cousin*  $\Phi_B$ ; vice versa, every CMC-1 surface in  $\mathbb{H}^3$  has a *minimal cousin*. The surfaces  $\Phi_W$  and  $\Phi_B$  are (locally) isometric, and their Gauss maps agree.

**Definition 2.1.** Following Rosenberg, we define a *Bryant surface* to be an immersed CMC-1 surface in  $\mathbb{H}^3$ .

**Definition 2.2.** Given a simply connected Bryant surface  $M$ , we define its *conjugate surface*  $M^c$  as the associate surface of  $M$ 's minimal cousin (in  $\mathbb{R}^3$ ).

Since the Bryant relation is a special case of Lawson's correspondence ([UY92]), a principal geodesic (i.e. a geodesic which is also a curvature line) corresponds to a principal geodesic under the Bryant cousin relation. Under the associate construction, principal geodesics go to straight lines. Thus principal geodesics on  $M$  are mapped to straight lines by  $M^c$ .

**Example 2.3.** We introduce our notation for the helicoids, the catenoids, and the catenoid cousins:

Parametrize the surfaces by  $\Sigma = \mathbb{C}$ : For  $0 \neq \lambda \in \mathbb{R}$ , the catenoid  $C_\lambda^W$  is the minimal surface with Weierstrass data  $g = \exp(z)$ ,  $\omega = \lambda \exp(-z)dz$ , and the helicoid  $H_\lambda$  is its associate surface, with Weierstrass data  $g = \exp(z)$ ,  $\omega = \lambda i \exp(-z)dz$ .

The formula for  $H_\lambda$  is

$$H_\lambda(x + iy) = 2\lambda \begin{pmatrix} \sinh x \sin y \\ -\sinh x \cos y \\ -y \end{pmatrix}. \quad (2)$$

If  $\lambda \in I$ , where  $I := (-\frac{1}{4}, \infty) \setminus \{0\}$ , we call the Bryant cousin of  $C_\lambda^W$  a *Catenoid Cousin*  $C_\lambda$ . Formulas for catenoid cousins  $C_\lambda$  in the upper halfspace model ( $\mathbb{H}^3 = \{(u + iv, w) \mid u, v \in \mathbb{R}, w > 0\}$ ) are given in [Ros02, sec. 11]: The surfaces are again parametrized by  $\mathbb{C}$ ; every line with constant imaginary part parametrizes a principal geodesic from the end of  $C_\lambda$  at 0 to the end at  $\infty$  in  $\mathbb{H}^3$ . Set  $a := \sqrt{1 + 4\lambda}$ ; then the formula for  $C_\lambda(x + iy)$  is given by

$$\begin{aligned} u + iv &= \frac{-\lambda(e^x + e^{-x})e^{ax}}{\left(\frac{1}{2} + \lambda - \frac{1}{2}a\right)e^{-x} + \left(\frac{1}{2} + \lambda + \frac{1}{2}a\right)e^x} e^{ia y} \\ w &= \frac{ae^{ax}}{\left(\frac{1}{2} + \lambda - \frac{1}{2}a\right)e^{-x} + \left(\frac{1}{2} + \lambda + \frac{1}{2}a\right)e^x} \end{aligned}$$

Note that the parametrization of  $C_\lambda$  is periodic with period  $\frac{2\pi i}{\sqrt{1+4\lambda}}$ .

Let  $J := (0, \infty) \setminus \{\pi\}$ , and define the bijective function  $\tilde{\varphi} : I \rightarrow J$  by  $\tilde{\varphi}(\lambda) := \frac{\pi}{\sqrt{1+4\lambda}}$ .

We remark that the Catenoids (and Catenoid Cousins)  $C_\lambda^{(W)}$  can alternatively be described by the Weierstrass data  $g = z^\alpha$ ,  $\omega = \frac{1-\alpha^2}{4\alpha} z^{-1-\alpha}$  on  $\mathbb{C}^*$ , where  $\pi\alpha = \tilde{\varphi}(\lambda)$ ; see [ST01, Ex. 1.5].

### 3 Symmetric catenoidal Bryant ends and their conjugate surfaces

In this section, we show that the conjugate minimal surface of a catenoidal Bryant end with a symmetry plane is asymptotic to the corresponding helicoid.

**Definition 3.1.** An *annular Bryant end* is a Bryant surface with domain  $\{0 < |z| \leq 1\}$  (or equivalently any other punctured disk with boundary).

Recall that a properly embedded Bryant annular end in  $\mathbb{H}^3$  is asymptotic to some catenoid cousin or to a horosphere [CHR01, Thm. 10].

**Definition 3.2.** An annular Bryant end is called *catenoidal* if it is properly embedded and asymptotic to a catenoid cousin.

An annular Bryant end is called *symmetric* if it is properly embedded and has a symmetry plane.

**Definition 3.3.** A *minimal end bounded by rays* is a properly immersed minimal surface in  $\mathbb{R}^3$  with domain  $\{0 < |z| \leq 1, \operatorname{Im} z \geq 0\}$ , such that  $[-1, 0]$  and  $(0, 1]$  are mapped to (monotonically parametrized) rays.

For a real number  $\varphi$ , we call  $r(\varphi) := \min_{n \in \mathbb{Z}} |\varphi + 2n\pi|$  the *reduced angle* of  $\varphi$ .

The following Lemma is a slight generalization of [Dan03, L. 7]:

**Lemma 3.4.** *Let  $X$  be a minimal end bounded by horizontal rays with vertical limit normal for  $z \rightarrow 0$ . Assume that  $X$  is contained in a vertical slab (i.e. the vertical component of  $X$  is bounded), that the stereographic projection  $g$  of the Gauss map of  $X$  satisfies  $g \sim z^\alpha$  for  $z \rightarrow 0$  with  $0 < \alpha \neq 1$ , and that the vertical components of the boundary rays are  $|\tilde{\varphi}^{-1}(\pi\alpha)|\pi\alpha$  apart. Then  $X$  is asymptotic to (part of)  $IH_\lambda$  for  $\lambda = \tilde{\varphi}^{-1}(\pi\alpha)$  and some orientation preserving isometry  $I$  of  $\mathbb{R}^3$ .*

*Proof.* First we note that we can conclude from the proof of [Dan03, L. 7] that the angle between the boundary rays is the reduced angle  $r(\pi\alpha)$ . Additionally, observe that the (vertical) distance of the boundary rays is by assumption the distance of two lines in  $H_\lambda$ , where one has to be rotated by angle  $\pi\alpha$  in  $H_\lambda$  to be mapped to the other one (cf. formula (2)).

If the boundary rays are not parallel, the claim is just [Dan03, L. 7]. The case of parallel boundary rays is not covered there; however, its proof still works in this case by our assumptions on the limit normal,  $X$  being contained in a slab, and the vertical distance of the rays.  $\square$

*Proof of Theorem 1.1.* It suffices to consider one symmetric piece  $E$  of  $E'$  bounded by principal geodesics (the curves of intersection with the symmetry plane). Let  $E^c$  denote the conjugate surface of this half. We assume  $E^c$  to be parametrized by  $D := \{0 < |z| \leq 1, \operatorname{Im} z \geq 0\}$ . By [CHR01],  $E'$  has a well-defined limit normal, which we may assume to be vertical.

Then  $E^c$  also has a vertical limit normal, so it is a minimal end bounded by horizontal rays. We show that  $E^c$  is contained in a vertical slab:

By [ST01], we may assume the Weierstrass data of  $E'$  to be of the form

$$g = z^\alpha(g_0 + zg_1(z)), \quad \omega = z^{-1-\alpha}(w_0 + zw_1(z))$$

with  $g_0, w_0 \in \mathbb{C}$  such that  $g_0 w_0 = \frac{1-\alpha^2}{4\alpha}$ , and holomorphic functions  $g_1, w_1$  on  $\{|z| \leq 1\}$  (where  $\pi\alpha = \tilde{\varphi}(\lambda)$ , in particular  $0 < \alpha \neq 1$ ).

Choose  $z_0 \in (0, 1] \subset D$ ; the third component of  $E^c$  is the negative of the imaginary part of the following integral:

$$\begin{aligned} \oint_{z_0}^z 2g\omega &= 2 \oint_{z_0}^z \xi^{-1} \left( g_0 w_0 + \xi (g_0 w_1(\xi) + w_0 g_1(\xi) + \xi w_1(\xi) g_1(\xi)) \right) d\xi \\ &= \frac{1 - \alpha^2}{2\alpha} \oint_{z_0}^z \xi^{-1} d\xi + 2 \underbrace{\oint_{z_0}^z g_0 w_1(\xi) + w_0 g_1(\xi) + \xi w_1(\xi) g_1(\xi) d\xi}_{=: C(z)} \end{aligned}$$

Hence,  $E^c$  is contained in a vertical slab, since  $C$  is bounded on  $D$  and the first summand corresponds to the third component of  $H_\lambda$ . Observe that the imaginary part of the first summand above is 0 for  $z \in (0, 1]$  and constant for  $z \in [-1, 0)$ . We show that  $\text{Im } C(z) = 0$  for  $z \in [-1, 0) \cup (0, 1]$ : This is clear for  $z \in (0, 1]$ , since  $z_0 \in (0, 1]$ , and a horizontal ray is parametrized. Similarly,  $\text{Im } C(z) \equiv C_2$  for  $z \in [-1, 0)$  since this parametrizes another horizontal ray. Thus  $\text{Im } \oint_{1/n}^{-1/n} g_0 w_1(\xi) + w_0 g_1(\xi) + \xi w_1(\xi) g_1(\xi) d\xi$  is constant (i.e. independent from  $n$  and the path in  $D$  from  $\frac{1}{n}$  to  $-\frac{1}{n}$ ) and we have

$$C_2 = \text{Im} \lim_{n \rightarrow \infty} \oint_{1/n}^{-1/n} g_0 w_1(\xi) + w_0 g_1(\xi) + \xi w_1(\xi) g_1(\xi) d\xi = 0.$$

This shows that the two boundary rays of  $E^c$  have positive vertical distance, which is equal to the distance of corresponding lines on  $H_\lambda$ . Now the conclusion follows via Lemma 3.4.  $\square$

**Corollary 3.5.** *Let  $E'$  be a symmetric Bryant end which is asymptotic to  $C_\lambda$ , and let  $E$  be a symmetric piece of  $E'$  as above. If  $\varphi := \tilde{\varphi}(\lambda) \notin \pi\mathbb{Z}$ , we have: The boundary rays  $l_1, l_2$  of  $E^c$  are contained in  $IH_\lambda$  for some orientation-preserving isometry  $I$  of  $\mathbb{R}^3$ . In particular, the angle between the ends of  $l_1$  and  $l_2$  is  $r(\varphi)$ . The distance of these two lines is  $h(\varphi) := |\lambda|\varphi$ .*

*Proof.* First we note that  $h : J \rightarrow \mathbb{R}$  is well-defined, because  $\tilde{\varphi}$  is a bijective function (in fact  $h(\varphi) = |\frac{\pi^2}{4\varphi} - \frac{\varphi}{4}|$ ).

The claim follows immediately from the proof of Theorem 1.1 and the formulas for helicoids.  $\square$

In case  $\tilde{\varphi}(\lambda) \in \pi\mathbb{Z}$ , the boundary rays are parallel, and we have a lower bound on their distance (by the distance of parallel lines in the corresponding helicoid).

## 4 Trinoids

**Definition 4.1.** We define a *trinoid* to be a properly immersed Bryant surface of genus zero with three ends, all of which are catenoidal. A *symmetric trinoid* is a trinoid  $T$  which has a symmetry plane  $P$  such that the asymptotic endpoints of  $T$  are contained in the asymptotic boundary of  $P$ .

Denote by  $\mathcal{M}$  the space of symmetric trinoids with ends marked by 1, 2, 3, up to isometry (respecting the marks of the ends).

Observe that the symmetry plane  $P$  is uniquely determined if the asymptotic endpoints are distinct.

Pictures of trinoids can be found at <http://www-sfb288.math.tu-berlin.de/~bobenko/Trinoid/webimages.html>; see also [BPS03].

**Definition 4.2.** We can define the map  $\Psi : \mathcal{M} \rightarrow J^3$  sending a trinoid to the triple  $(\varphi_1, \varphi_2, \varphi_3) \in J^3$ , where  $\varphi_i = \tilde{\varphi}(\lambda_i)$ , and  $\lambda_i$  is the parameter of end  $i$ .

**Lemma 4.3.** *Any properly embedded Bryant surface  $M$  of genus zero with three ends is a symmetric trinoid.*

*Proof.* By Theorem [CHR01, Thm. 12], every end is catenoidal, and by [CHR01, Thm. 11], the three asymptotic boundary points are distinct and  $M$  is a bigraph over the plane containing them.  $\square$

We expect that the Lemma above generalizes to Alexandrov-embedded Bryant surfaces.

Note that a trinoid is a map  $S^2 \setminus \{x_1, x_2, x_3\} \rightarrow \mathbb{H}^3$ , where  $x_1, x_2, x_3$  are distinct and correspond to the ends 1, 2, 3 respectively.

**Lemma 4.4.** *For a symmetric trinoid  $M \in \mathcal{M}$ , there is a unique principal geodesic of  $M$  joining  $x_1$  to  $x_2$ , which we denote by  $l_{12}$ . Similarly, there is a unique principal geodesic  $l_{23}$  joining  $(x_2, x_3)$  and a unique principal geodesic  $l_{31}$  joining  $(x_1, x_2)$ .*

*Considering  $l_{12}, l_{23}, l_{31}$  as subsets of  $S^2$ , we have that  $S^2 \setminus (l_{12} \cup l_{23} \cup l_{31} \cup \{x_1, x_2, x_3\})$  consists of exactly two components.*

*Proof.* It is known that a principal geodesic is contained in a plane of symmetry of  $M$  (cf. [ST01, Prop. 3.2]). We conclude that the three lines we are looking for need to be contained in  $P$ , the symmetry plane of  $M$  from the definition.



Consider the graph  $G$  in  $S^2$  with vertices  $V := \{x_1, x_2, x_3\}$ , and edges the principal geodesics of  $M$  contained in  $P$  which start or end in  $V$  (observe that both asymptotic ends of such a principal geodesic are in  $V$ ).

Since every end of  $M$  is embedded, every vertex has degree two. Edges cannot intersect: Tangential contact is excluded by uniqueness of geodesics, and transversal intersection is impossible since  $M$  intersects  $P$  orthogonally near every point of  $G$ .

Thus,  $G$  consists of one, two, or three loops in  $S^2$ . Reflection in  $P$  maps every component of  $S^2 \setminus G$  to an other component. Since all elements of  $V$  are fixed points of this reflection,  $G$  consists of one loop only.  $\square$

**Corollary 4.5.** *Consider a symmetric trinoid  $M$  and its symmetry plane  $P$ . Then there is a neighborhood  $N$  of  $l_{12} \cup l_{23} \cup l_{31} \cup \{x_1, x_2, x_3\}$  in  $S^2$  such that  $M(N) \cap P = M(l_{12} \cup l_{23} \cup l_{31})$ . In particular: Near its boundary, each component of  $S^2 \setminus (l_{12} \cup l_{23} \cup l_{31} \cup \{x_1, x_2, x_3\})$  is mapped to a component of  $\mathbb{H}^3 \setminus P$ .*

*If  $M$  is embedded, each component of  $S^2 \setminus (l_{12} \cup l_{23} \cup l_{31} \cup \{x_1, x_2, x_3\})$  is mapped into a halfspace of  $\mathbb{H}^3 \setminus P$ .*  $\square$

Given a symmetric trinoid  $M$ , we can (by an orientation-preserving isometry) assume that its symmetry plane is the equatorial plane  $E = \{x_3 = 0\}$  of the Poincaré disk model (lying inside  $\mathbb{R}^3$ ). Further, we can assume that the ends are marked increasingly if one looks from above (i.e. the direction of positive  $x_3$ ).

**Definition 4.6.** Given a symmetric trinoid  $M$ , we divide its domain  $S^2 \setminus \{x_1, x_2, x_3\}$  into two components along  $l_{12}, l_{23}, l_{31}$ , and we define  $M^+$  to be the restriction of  $M$  to the closure of the component which is mapped to the upper half space near  $l_{12}, l_{23}, l_{31}$ , if  $M$  is put in the Poincaré model in the way explained above.

So  $M^+$  is a map  $\bar{D} \setminus \{x_1, x_2, x_3\} \rightarrow \mathbb{H}^3$ , where  $x_1, x_2, x_3$  are distinct points in  $\partial D$  (and  $D$  is the closed unit disk).

We choose the orientation on  $D$  and its boundary as depicted in Figure 1.

Note that  $M^+$  is well-defined up to orientation-preserving hyperbolic isometries leaving the upper half-space in the Poincaré disk model invariant.

Define  $M^c := (M^+)^c$  to be the conjugate minimal surface of  $M^+$ .

Since principal geodesics on a Bryant surface correspond to straight lines on its conjugate minimal surface, we have:

**Lemma 4.7.** *Let  $M$  be a symmetric trinoid. Then  $M^c$  is a minimal surface bounded by three straight lines.*  $\square$

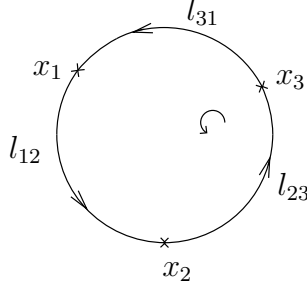


Figure 1: The domain of  $M^+$  and  $M^c$ .

We mention another interesting fact about  $M^+$ :

**Proposition 4.8.** *If  $M^+$  is embedded, then so is  $M$ .*

*Proof.* Assume the symmetry plane of  $M$  to be the equatorial plane  $E$  as before. We apply the Alexandrov-reflection technique (see, for example, [LR85]): Using a (continuous) family of planes which foliate the upper half-space, we conclude that the normal of  $M^+$  at any point  $p \in M^+ \cap E$  has non-positive vertical coordinate.

Similarly, we use a family of planes foliating the lower half-space to find that for a point  $p \in M^+ \cap E$ , the normal has to have non-negative vertical coordinate.

Thus, every component of  $M \cap E$  is a principal geodesic, i.e. a curve of planar reflection (by [ST01, Prop. 3.2]). So  $M^+$  is cut off wherever it reaches  $E$  (observe that there are no closed principal geodesics since  $M$  has genus zero), and it does not intersect the lower half-space; so  $M$  is embedded.  $\square$

## 5 Necessary conditions on the constellation of boundary lines

In this section, we use the information about the constellation of lines which bound  $M^c$  to obtain a necessary condition on the parameter triple  $\Psi(M)$  in the generic case.

**Definition 5.1.** A triple  $(\lambda_1, \lambda_2, \lambda_3) \in I^3$  is called a *parameter triple*.

A triple of oriented lines  $(l_{12}, l_{23}, l_{31})$  in  $\mathbb{R}^3$  is called *admissible constellation* if

- (i) There exists a parameter triple  $(\lambda_1, \lambda_2, \lambda_3) \in I^3$  and orientation-preserving isometries  $I_1, I_2, I_3$  of  $\mathbb{R}^3$  such that

$$\{l_{12}, l_{31}\} \subset I_1(H_{\lambda_1}), \quad \{l_{23}, l_{12}\} \subset I_2(H_{\lambda_2}), \quad \text{and} \quad \{l_{31}, l_{23}\} \subset I_3 H_{\lambda_3}.$$

- (ii) Rotating  $l_{i(i+1)}$  inside  $I_i(H_{\lambda_i})$  maps  $l_{i(i+1)}$  to  $l_{(i+2)i}$  with the opposite orientation (for  $i \in \mathbb{Z}_3$ ).

- (iii) The distance of  $l_{i(i+1)}$  and  $l_{(i+2)i}$  is  $h \circ \tilde{\varphi}(\lambda_i)$  (for  $i \in \mathbb{Z}_3$ ).

A triple  $(\varphi_1, \varphi_2, \varphi_3) \in J^3$  of angles is called *admissible*, if there exists an admissible constellation with parameter triple  $(\tilde{\varphi}^{-1}(\varphi_1), \tilde{\varphi}^{-1}(\varphi_2), \tilde{\varphi}^{-1}(\varphi_3))$ .

An admissible triple is called *generic* if there is a corresponding admissible constellation such that the lines are not contained in parallel planes. The triple is called *parallel* otherwise.

**Remark 5.2.** Note that a general triple of three oriented lines is determined (up to the action of  $SO(3)$ ) by the oriented distances and the angles. We have the restriction that the distance and angle match, i.e. every pair of lines can be put into a suitable helicoid.

We define  $\mathcal{T}$  to be the set of interior points of the tetrahedron with vertices  $(\pi, 0, 0)$ ,  $(0, \pi, 0)$ ,  $(0, 0, \pi)$ ,  $(\pi, \pi, \pi)$ .

Sketches of admissible constellations can be found in [Bal03].

From Corollary 3.5, we have:

**Lemma 5.3.** *For any symmetric trinoid  $M \in \mathcal{M}$  with  $\tilde{\varphi}(\lambda_i) \notin \pi\mathbb{Z}$  for  $i \in \{1, 2, 3\}$ , the triple  $\Psi(M)$  is admissible.*  $\square$

**Theorem 5.4.** *A triple  $(\varphi_1, \varphi_2, \varphi_3) \in J^3$  is a generic admissible triple if and only if  $(r(\varphi_1), r(\varphi_2), r(\varphi_3)) \in \mathcal{T}$ . For every triple of that kind, there are exactly two generic admissible constellations of lines in  $\mathbb{R}^3$  (modulo  $SO(3)$ ).*

Proofs can be found in [Dan03, Prop. 9]; and [Bal03]. Essentially, the conditions on the angles correspond to the condition that the directions of the lines form a spherical triangle (after identifying the unit tangent spheres of  $\mathbb{R}^3$  via parallel translation).

*Proof of Theorem 1.2.* The theorem follows from Lemma 5.3 and Theorem 5.4.  $\square$

**Remark 5.5.** One can show that an admissible triple corresponds *either* to generic *or* to parallel constellations, and that the triple of reduced angle lies in the boundary of  $\mathcal{T}$  in the parallel case, see [Bal03]. Hence the name *generic* is justified.

## 6 Comparing to related results

In this section, we compare the conditions obtained by [Dan03] and our results with the results in [BPS03] and [UY00].

Consider the presentation of catenoid cousins in [Bry87, Ex. 2]. Bryant parametrizes catenoid cousins with a parameter  $-\frac{1}{2} < \mu_B \neq 0$ .

**Lemma 6.1.** *The catenoid cousin given by Bryant's parameter  $\mu_B$  is  $C_\lambda$ , where  $\lambda = \tilde{\varphi}^{-1}(\pi(2\mu_B + 1))$ .*

*Proof.* Bryant computes the total curvature of a catenoid cousin to be  $-4\pi(2\mu_B + 1)$ . A standard catenoid has total curvature  $-4\pi$ . Since a Bryant surface is locally isometric to its minimal cousin, a catenoid cousin  $C_\lambda$  has total curvature  $-4\pi \cdot \frac{1}{\sqrt{1+4\lambda}}$  (see Example 2.3). The claim follows.  $\square$

Next, we trace back the relationship between our parameters and the parameters in [BPS03].

In [BPS03, sec. 4], catenoid cousins are parametrized by a parameter  $0 < \lambda_{BPS} \neq \frac{1}{2}$ . Comparing the formulas for catenoid cousins given by Bryant and [BPS03], we obtain  $\lambda_{BPS} = \mu_B + \frac{1}{2}$ ; hence, the catenoid cousin described by the parameter  $\lambda_{BPS}$  is  $C_\lambda$ , where

$$\lambda = \lambda(\lambda_{BPS}) = \tilde{\varphi}^{-1}(2\pi\lambda_{BPS}). \quad (3)$$

They consider  $|\{\lambda_{BPS,i}\}|$ , where  $\{\cdot\}$  stands for the fractional part of a number in  $[-\frac{1}{2}, \frac{1}{2})$ .

The main result in [BPS03] is:

**Theorem 6.2 ([BPS03, Prop. 2]).** *For given parameters  $p_i, q_i$ , where  $i \in \{0, 1, \infty\}$ , in the generic case, it is necessary for the existence of a trinoid that the numbers  $\Delta_i := |\{\lambda_{BPS,i}\}|$  satisfy the conditions*

$$\begin{aligned} \Delta_0 + \Delta_1 + \Delta_\infty &> \frac{1}{2} \\ \Delta_0 + \Delta_1 - \Delta_\infty &< \frac{1}{2} \\ \Delta_0 - \Delta_1 + \Delta_\infty &< \frac{1}{2} \\ -\Delta_0 + \Delta_1 + \Delta_\infty &< \frac{1}{2}; \end{aligned}$$

This condition is sufficient if furthermore, certain holomorphic spinors  $P$  and  $Q$  have no common zeroes.  $\square$

They also show that their classification is equivalent to [UY00, Thm. 2.6].

Observe that the “generic case” in [BPS03] means that the case of half-integer  $\lambda_{BPS,i}$  is excluded (cf. formula (6.3), and the remark at the bottom of page 18), so their class of generic trinoids is slightly larger than ours.

In [Dan03, Thm. 49], the trinoids from the classification of Umehara-Yamada (or equiv. Bobenko et al.) are constructed via minimal surfaces bounded by a generic constellation of three lines.

In view of (3), we find that  $|\{\lambda_{BPS}\}|$  corresponds to our notion of reduced angle, i.e. we have  $r(\tilde{\varphi} \circ \lambda(\lambda_{BPS})) = 2\pi|\{\lambda_{BPS}\}|$ . So the necessary conditions of Theorem 6.2 are the same as those in Theorem 1.2.

Comparing our Theorem 1.2 to the main theorem of [BPS03], the condition about the common zeroes of  $P, Q$  is preventing our condition from being sufficient. In [Dan03], this additional condition is that his polynomial “ $\varphi$ ” of degree two has no double root (for the equivalence, see [Dan03, proof of L. 16, and page 31]). This condition avoids singular points on the minimal surface and the trinoid.

Hence, Corollary 1.4 follows.

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